## Roadmap



## Stable storage: how stable?



# Coping with disk failures 

By Marina Barsky<br>Winter 2017, University of Toronto

## Disks fail in different ways

- Intermittent failure - the data transfer failed, but the disk data are not corrupted
- Disk crash - the entire disk becomes unreadable, suddenly and permanently


## Intermittent Failures

- How do we know that the read/write failed?
- Disk sectors store some redundant bits that can be used to tell us if an I/O operation was successful
- For writes, we simply re-read the sector and check the status bits


## Checksums for failure detection

- Status validation is performed with checksum
- One or more bits that, with high probability, verify the correctness of the operation
- The checksum is written by the disk controller


## Parity bit

- A simple form of checksum is the parity bit:
- Add one bit per sector so that the number of 1's in the sector data + the parity bit is even
- A disk read (per sector) would return status "good" if the bit string has an even number of 1's; otherwise, status = bad


## Odd parity - 1bit error



If the total sequence of bits, including the parity bit, contains an odd number of 1 s - disk controller reports an error

## 2-bit errors



- If more than 1 bit is corrupted, the probability that even parity will be preserved is $50 \%$.
Why?
- For example, if two bits were changed, say, the first erroneous bit was 1 and became 0 , the probability that the second erroneous bit was also 1 and become 0 is $50 \%$.
- An error will go undetected in $50 \%$ of cases!


## Using several parity bits

- Let's have 8 parity bits - one for each corresponding bit of data bytes

```
01110110
11001101 Data bytes
00001111
10110100
    8 parity bits
```


# Several parity bits solve the problem 

```
01110110
11001101 Data bytes
00001111
10110100 8 parity bits
```

- The probability that a single parity bit will not detect an error is $1 / 2$. The chance that none of 8 bits will detect an error is $\mathbf{1 / 2} \mathbf{2}^{\mathbf{8}}=\mathbf{1 / 2 5 6}$
- With $n$ parity bits, the probability of undetected error $=\mathbf{1 / 2} \mathbf{2}^{\boldsymbol{n}}$
- If we devote 4 bytes ( 32 bits) to a checksum of a disk block, the probability of undetected error is $\sim 1 / 4,000,000,000$.


## Disk failure types

- Intermittent failure
$\Rightarrow$ • Disk crash - the entire disk becomes unreadable, suddenly and permanently


## Disk failure and data loss

- Mean time to failure (MTTF) = when $50 \%$ of the disks have crashed, typically 10 years
- Simplified (assuming this happens linearly) computation
- In the $1^{\text {st }}$ year $=5 \%$ disks fail,
- In the $2^{\text {nd }}$ year $=5 \%$,
- ...
- In the $20^{\text {th }}$ year $=5 \%$
- However the mean time to a disk crash doesn't have to be the same as the mean time to data loss; there are solutions.


## Redundant Array of Independent Disks, RAID

- Mirror each disk (data disk/redundant disk)
- If data disk fails, restore using the mirror


## RAID 1 solution

- Mirror each one data disk with one redundant disk

Assume:

- 5\% failure per year; MTTF = 10 years (for disks).
- 3 hours to replace and restore failed disk.

If a failure to one disk occurs, then the other better not fail in the next three hours

- Probability of failure during replacement $=5 \% \times 3 /(24 \times 365)=$ 1/58,400.
- If half disks fail every 10 years, then one of two will fail every 5 years
- One in 58,400 of those failures results in data loss; MTTF = $5 * 58,400=292,000$ years.


## RAID 1

- Mirror each data disk with one redundant disk
- Drawback: We need one redundant disk for each data disk.


## RAID 4 solution

- $\boldsymbol{n}$ data disks \& 1 redundant disk (for any $n$ )


## Modulo-2 sum

- We'll refer to the expression $x \oplus y$ as modulo-2 sum of $x$ and $y$ (XOR)
E.g. $11110000 \oplus 10101010=01011010$

| Input |  |  |  |
| :--- | :--- | :--- | :--- |
|  | A | Output |  |
| 0 |  | B |  |
| 0 | 0 | 0 |  |
| 1 | 1 | 1 |  |
| 1 | 0 | 1 |  |
|  | 1 | 0 |  |

Output is 1 when $A$ and $B$ differ

## Properties of XOR: $\oplus$

- Commutativity: $\mathbf{x} \oplus \mathbf{y}=\mathbf{y} \oplus \mathbf{x}$
- Associativity: $\mathbf{x} \oplus(\mathrm{y} \oplus \mathrm{z})=(\mathrm{x} \oplus \mathrm{y}) \oplus \mathrm{z}$
- Identity: $\mathbf{x} \oplus \mathbf{0}=\mathbf{0} \oplus \mathbf{x}=\mathbf{x}$ ( $\mathbf{0}$ is vector 0000...)
- Self-inverse: $\mathbf{x} \oplus \mathbf{x}=\mathbf{0}$
- As a useful consequence, if $x \oplus y=z$, then we can "add" $x$ to both sides and get $\mathrm{y}=\mathrm{x} \oplus \mathrm{z}$
- More generally, if
$0=x_{1} \oplus \ldots x_{n}$
Then "adding" $x_{i}$ to both sides, we get:
$\mathrm{x}_{\mathrm{i}}=\mathrm{x}_{1} \oplus \ldots \mathrm{x}_{\mathrm{i}-1} \oplus \mathrm{x}_{\mathrm{i}+1} \oplus \ldots \oplus \mathrm{x}_{\mathrm{n}}$


## RAID 4 solution

- $\boldsymbol{n}$ data disks \& 1 redundant disk (for any $n$ )
- Each block in the redundant disk has the modulo-2 sum for the corresponding blocks in the other disks.
$i$ th Block of Disk 1:
$i$ th Block of Disk 2: $i$ th Block of Disk 3: $i$ th Block of red. disk:

11110000
10101010
00111000
01100010
00000000

The redundant disk adjusts modulo-2 sum of all corresponding bits to 0

## Failure recovery in RAID 4

We must be able to restore whatever disk crashes.

- Just compute the modulo2 sum of corresponding blocks of all the other disks (including redundant)
- Use equation to restore each block of failed disk

$$
x_{j}=x_{1} \oplus \ldots x_{j-1} \oplus x_{j+1} \oplus \ldots \oplus x_{n} \oplus x_{r e d}
$$

## RAID 4 recovery example

- Disk 1 crashes - recover it
i th Block of Disk 1:
i th Block of Disk 2:
i th Block of Disk 3:
$i$ th Block of red. disk:

10101010 00111000 01100010

00000000

## RAID 4 recovery example

- Recovered disk 1
i th Block of Disk 1:
i th Block of Disk 2:
i th Block of Disk 3:
$i$ th Block of red. disk:


## RAID 4: reading opportunity

- Interesting possibility: If we want to read from disk $i$, but it is busy and all other disks are free, then instead we can read the corresponding blocks from all other disks and modulo2 sum them.


## RAID 4: writing challenge

- Writing:
- Write data block
- Update redundant block
- Naively: Read all $\boldsymbol{n}$ corresponding blocks $n+1$ disk I/O's:
$n-1$ blocks read,
1 data block write,
1 redundant block write.
- Better: How?


## RAID 4: writing

- Better Writing: To write block $i$ of data disk 1 (new value v):
- Read old value of that block o.
- Read the $\boldsymbol{i}^{\text {th }}$ block of the redundant disk with value $r$.
- Compute w = v $\oplus$ o $\oplus$ r.
- Write vin block $i$ of disk 1.
- Write win block $i$ of the redundant disk.
- Total: 4 disk I/O; (true for any number of data disks)
- Why does this work?
- Intuition: v $\oplus$ o is the "change" to the overall parity
- Redundant disk must change accordingly to compensate.


## RAID 4 writing example

| $i$ th Block of Disk1: | 11110000 |
| :--- | :--- |
| $i$ th Block of Disk $:$ | 10101010 |
| $i$ th Block of Disk 3: | 001110000 |
| $i$ th Block of red disk: | 01100010 |

Suppose we change 10101010 into 01101110
10101010
01101110
01100010
10100110
Re-computing by using all 3 disks:
11110000
01101110
00111000
10100110

## RAID 5: solves writing bottleneck

- In RAID 4: the redundant disk is involved in every write $\rightarrow$ Bottleneck!
- Solution: RAID 5 - vary the redundant disk for different blocks.
- If we have $\boldsymbol{n}$ disks, then block $\boldsymbol{j}$ of disk $\boldsymbol{i}$ serves as redundant if $\boldsymbol{i}=\boldsymbol{j} \% \boldsymbol{n}$
- In this way, all blocks of each disk are used for data, except some that are used for parity bits of the rest of the disks
- For example, in disk 2 in RAID of 10 disks, the blocks 2, 12, 22 etc. are used for storing parity bits for all the other disks


## RAID 5 example

- In practice, not blocks but entire cylinders are used for redundancy
- Example: $\mathrm{n}=4$. So, there are 4 disks.
- First disk numbered 0, would serve as "redundant" when considering cylinders numbered: $0,4,8,12$ etc. (because they leave reminder 0 when divided by 4).
- Disk numbered 1, would be "redundant" for cylinders numbered: 1, 5, 9, etc.



## Disk 3

Cylinder 0
Cylinder 1
Cylinder 2
Parity 3
Cylinder 4

## RAID 6: Coping with multiple disk crashes

- There is a theory of error-correcting codes that allows us to deal with any number of disk crashes - if we use enough redundant disks
- We look how two simultaneous crashes can be recoverable based on the simplest error-correcting code, known as a Hamming code


## RAID 6 - for multiple disk crashes

- 7 disks, numbered 1 through 7
- The first 4 are data disks, and disks 5 through 7 are redundant.
- The relationship between data and redundant disks is summarized by a $3 \times 7$ matrix of 0's and 1's

| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

5 - first redundant, 6 - second redundant, 7 - third redundant

The 1 s in row $i$ of data disks tell that the parity for these disks is in a redundant disk $i$

Each data disk has at least 2 associated redundant disks

There are no two equal participation columns for two different data disks

## RAID 6 - example

1) 11110000
2) 10101010
3) 00111000
4) 01000001
5) 01100010
6) 00011011
7) 10001001
disk 5 is modulo 2 sum of disks 1,2,3 disk 6 is modulo 2 sum of disks $1,2,4$ disk 7 is modulo 2 sum of disks $1,3,4$

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

## RAID 6 - example

1) 11110000
2) $\mathbf{1 0 1 0 1 0 1 0}$
3) 00111000
4) 01000001
5) 01100010
6) 00011011
7) 10001001
disk 5 is modulo 2 sum of disks 1,2,3 disk 6 is modulo 2 sum of disks $1,2,4$ disk 7 is modulo 2 sum of disks $1,3,4$

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

## RAID 6 - example

1) 11110000
2) 10101010
3) 00111000
4) 01000001
5) 01100010
6) 00011011
7) 10001001
disk 5 is modulo 2 sum of disks 1,2,3 disk 6 is modulo 2 sum of disks 1,2,4 disk 7 is modulo 2 sum of disks 1,3,4

| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ | $\mathbf{7}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{1}$ | 1 | 1 | 0 | 1 | 0 | 0 |
| $\mathbf{1}$ | 1 | 0 | 1 | 0 | 1 | 0 |
| $\mathbf{1}$ | 0 | $\mathbf{1}$ | $\mathbf{1}$ | 0 | 0 | 1 |

## RAID 6 Recovery

$\rightarrow$| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

Why is it possible to recover from two disk crashes?

- Let the failed disks be $a$ and $b$.
- Since all columns of the redundancy matrix are different, we must be able to find some row $r$ in which the columns for $a$ and $b$ are different.
- Suppose that $a$ has 0 in row $r$, while $b$ has 1 there.
- Then we can compute the correct $b$ by taking the modulo-2 sum of corresponding bits from all the disks other than $b$ that have 1 in row $r$.
- Note that $a$ is not among these, so none of them have failed.
- Having done so, we can recompute $a$, with all other disks available.


## RAID 6 - How many redundant disks?

- The total number of disks can be one less than any power of 2 , say $2^{k}$ 1.
- Of these disks, $k$ are redundant, and the remaining $2^{k}-1-k$ are data disks, so the redundancy grows roughly as the logarithm of the number of data disks.
- For any $k$, we can construct the redundancy matrix by writing all possible columns of $k 0$ 's and 1's, except the all-0's column.
- The columns with a single 1 correspond to the redundant disks, and the columns with more than one 1 are the data disks.

Note finally that we can combine RAID 6 with RAID 5 to reduce the performance bottleneck on the redundant disks

Exercises

## RAID 4

i th Block of Disk 1:
i th Block of Disk 2:
i th Block of Disk 3:
i th Block of Disk 3:
i th Block of red. disk:

11110000
10101010
00111000
11111011

## RAID 4

i th Block of Disk 1:
i th Block of Disk 2:
i th Block of Disk 3:
i th Block of Disk 3:
i th Block of red. disk: 10011001

## RAID 4

i th Block of Disk 1:
i th Block of Disk 2:
i th Block of Disk 3:
i th Block of Disk 3:
i th Block of red. disk: 10011001

Now suppose that Disk 1 crashed. Recover it.

## RAID 4

i th Block of Disk 1:
i th Block of Disk 2:
i th Block of Disk 3:
i th Block of Disk 3:
i th Block of red. disk: 10011001

Now suppose that Disk 1 crashed. Recover it.

## RAID 5

| Disk 1: | 1111000001 |
| :--- | :--- |
| Disk 2: | 1010101011 |
| Disk 3: | 0011100000 |
| Disk 4: | 1111101101 |
| Disk 5: | 1001100111 |

The red bits are used for redundancy
(This is toy example. In practice we talk in terms of cylinders)

## RAID 5

Disk 1:
Disk 2:
Disk 3:
Disk 4:
Disk 5:
----------
1010101011
0011100000
1111101101
1001100111

The red bits are used for redundancy (This is toy example. In practice we talk in terms of cylinders)

Now suppose that Disk 1 crashed. Recover it.

## RAID 5

Disk 1:
Disk 2:
Disk 3:
Disk 4:
Disk 5:
--11000001
1010101011
0011100000
1111101101
1001100111

The red bits are used for redundancy (This is toy example. In practice we talk in terms of cylinders)

Now suppose that Disk 1 crashed. Recover it.

## RAID 5

Disk 1:
Disk 2:
Disk 3:
Disk 4:
Disk 5:

1111000001
1010101011
0011100000
1111101101
1001100111

The red bits are used for redundancy (This is toy example. In practice we talk in terms of cylinders)

Now suppose that Disk 1 crashed. Recover it.

## RAID 6

1) 11110000
2) 10101010
3) 00111000
4) 01000001
5) 01100010
6) 00011011
7) 10001001

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

## RAID 6

1) 11110000
2) ---------
3) 00111000
4) 01000001
5) ---------

| $\mathbf{1}$ | $\mathbf{2}$ | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

6) 00011011
7) 10001001

Now suppose that Disk 2 and Disk 5 crash. Recover them.

## RAID 6

1) 11110000
2) 10101010
3) 00111000
4) 01000001

$\rightarrow$| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

5) ---------
6) 00011011
7) 10001001

Now suppose that Disk 2 and Disk 5 crash. Recover them.
We find the row with 1 for disk 2 and 0 for disk 5
We can recover disk 2 using redundant disk 6 which is the parity for disks 1,2,4

## RAID 6

1) 11110000
2) 10101010
3) 00111000
4) 01000001

$\rightarrow$| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

5) 00100010
6) 00011011
7) 10001001

Now suppose that Disk 2 and Disk 5 crash. Recover them.
We know that disk 5 is a parity disk for data disks 1,2,3. All their values are known, so we recover disk 5

## RAID 6

1) 11110000
2) ---------
3) 00111000
4) --------

$\rightarrow$| $\mathbf{1}$ | $\mathbf{2}$ | 3 | $\mathbf{4}$ | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| 1 | 0 | 1 | 1 | 0 | 0 | 1 |

5) 01100010
6) 00011011
7) 10001001

Now suppose that Disk 2 and Disk 4 crash. Recover them.

## Another Version of RAID 6



- RAID 6 based on Reed-Solomon codes (1997).
- The damage protection method can be briefly explained via these two mathematical expressions:

$$
P=D 1+D 2+D 3+D 4
$$

$$
Q=1^{*} D 1+2 * D 2+3^{*} D 3+4^{*} D 4
$$

- If any two of P, Q, D1, D2, D3 and D4 become unknown (or lost), then solve the system of equations for 2 unknowns.
- In fact, we don't really multiply by $1,2,3,4$ but by $g, g^{\wedge} 2, g^{\wedge} 3, g^{\wedge} 4$, where $g$ is a Galois field generator.

